



Attorney Docket No. 002114.P027

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:
King L. Won
Application No.: 10/033,430
Filed: December 27, 2001
For: IDENTIFICATION OF RELATED
COMMUNICATIONS CHANNELS
IN A WAVELENGTH DIVISION
MULTIPLEXED OPTICAL
NETWORK

RECEIVED
Examiner: Not Yet Assigned MAY 09 2002
Art Unit: 2633 Technology Center 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

5.1.02

Date of Deposit:

Name of Person Mailing Correspondence

7/6

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

37 C.F.R. §1.97(b).

37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

A statement pursuant to 37 C.F.R. §1.97(e) or

A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

(1) A statement pursuant to 37 C.F.R. §1.97(e); and

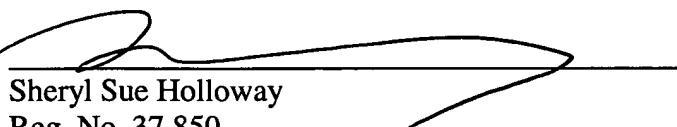
(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated April 30, 2002


Sheryl Sue Holloway
Reg. No. 37,850

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8300

RECEIVED

MAY 09 2002

Technology Center 2600

| | | | |
|-----------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|-----------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified)

Complete if Known**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

| | |
|-----------------------|-------------------|
| Application Number | 10/033,430 |
| Filing Date | December 27, 2001 |
| First Named Inventor: | King L. Won |
| Group Art Unit | 2633 |
| Examiner Name | **** |

Sheet 2 of 3 Attorney Docket Number 002114.P027 MAY 09 2002

RECEIVED**OTHER ART – NO PATENT LITERATURE DOCUMENTS**

Technology Center 2600

| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ² |
|--------------------|----------------------|--|----------------|
| | | HP Press Release, SuperComm '99: HP Launches Dedicated DWDM Test Solution, System Maximizes Throughput and Economy of Test for Network Equipment Manufacturers, ATLANTA, SUPERCOMM '99, June 8, 3 pages | |
| | | Test & Measurement News Lightwave, Agilent Technologies Test & Measurement Online News & Events, Agilent SpectraBER testers handle 10-Gb/s, 2.5-Gb/s, 622-Mb/s, and 155-Mb/s rates, DWDM and SONET/SDH functional test to 10 Gb/s, February 2000, Issue 60, 2 pages, http://www.tm.agilent.com/tmo/TMNews/English/17-0002.html | |
| | | Test & Measurement News Lightwave, Agilent Technologies Test & Measurement Online News & Events, Get complete, reliable test capability for DWDM system installation and maintenance, Characterize DWDM systems in the field, November 1999, Issue 59, 2 pages, http://www.tm.agilent.com/tmo/TMNews/English/02-9911.htm | |
| | | Launching the Q8384 Optical Spectrum Analyzer For Evaluating Next Generation Optical Communications Systems And Components/With a 10pm Wavelength Resolution and 60dB Dynamic Range, Perfectly Suited for DWDM Optical Communications, ADVANTECH CORPORATION Press Release, July 7, 1999, 2 pages, http://www.www.advantest.co.jp/99-7-7-e.html | |
| | | DIGL Press Release, Digital Lightwave Introduces Industry's First Integrated Portable DWDM Analyzer, Digital Lightwave, Thursday, May 18, 2000, 2 pages, http://www.lightwave.com/newspress/051700rls.htm | |
| | | OSA-155 DWDM System Analyzer, Spectrum and WDM parameter analysis for applications on DWDM systems, Wavetech Wandel Goltermann, 1999, 5 pages http://fiberoptics.wwgsolutions.com/products/osa/osa155.html | |
| | | MN9320A Optical Channel Drop Unit, BER Testing 10 Gbps, 50GHz Spacing ± 20 pm Accuracy, Independent Test Access Tool for Comprehensive DWDM Measurements, Anritsu, 8 pages | |
| | | | |

| | |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

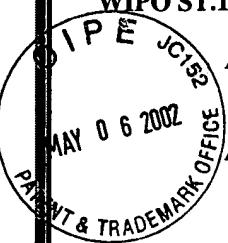
¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

WIPO ST.16 Kind Codes

| | Kind of document | Comments |
|---------------|--|--|
| A1 | Patent Application Publication | Pre-grant publication available March 2001 |
| A2 | Patent Application Publication (Republication) | Pre-grant publication available March 2001 |
| A9 | Patent Application Publication (Corrected Publication) | Pre-grant publication available March 2001 |
| B1 | Patent | No previously published pre-grant publication |
| B2 | Patent | Having a previously published pre-grant publication and available March 2001 |
| C1, C2, C3... | Reexamination Certificate | Previously used codes B1 and B2 are now used for granted Patents |
| E | Reissue Patent | No change |
| H | Statutory Invention Registration (SIR) | No change |
| P1 | Plant Patent Application Publication | Pre-grant publication available March 2001 |
| P2 | Plant Patent | No previously published pre-grant publication |
| P3 | Plant Patent | Having a previously published pre-grant publication and available March 2001 |
| P4 | Plant Patent Application Publication (Republication) | Pre-grant publication available after March 2001 |
| P9 | Plant Patent Application Publication (Corrected Publication) | Pre-grant publication available March 2001 |
| S | Design Patent | No change |



RECEIVED

MAY 09 2002

Technology Center 2600